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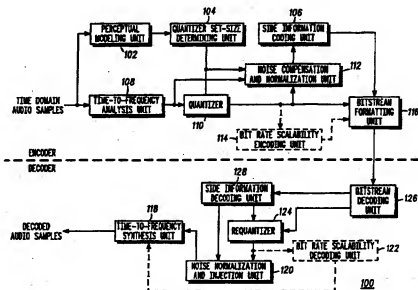
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G10L 3/02</b>	<b>A1</b>	(11) International Publication Number: <b>WO 97/15916</b> (43) International Publication Date: <b>1 May 1997 (01.05.97)</b>
(21) International Application Number: <b>PCT/US96/13959</b> (22) International Filing Date: <b>27 August 1996 (27.08.96)</b> (30) Priority Data: <b>08/548,773</b> <b>26 October 1995 (26.10.95)</b> <b>US</b> (71) Applicant: <b>MOTOROLA INC. [US/US]; 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</b> (72) Inventor: <b>PAN, Davis; 532 Caren Drive, Buffalo Grove, IL 60089 (US).</b> (74) Agents: <b>STOCKLEY, Darleen, J. et al.; Motorola Inc., Intellectual Property Dept., 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</b>		(81) Designated States: <b>BR, CA, CN, FI, KR, MX, SE, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b>  <b>Published</b> <i>With international search report.</i>

(54) Title: **METHOD, DEVICE, AND SYSTEM FOR AN EFFICIENT NOISE INJECTION PROCESS FOR LOW BITRATE AUDIO COMPRESSION**

## (57) Abstract

The present invention provides a device, method (400, 500), and system (100) of noise injection to maximize compressed audio quality while enabling bitrate scalability. It includes at least one of an encoder and a decoder. The encoder includes a zero detection unit, coupled to receive a frequency domain quantized signal, for determining a control signal that indicates whether noise injection is implemented and a normalization computation unit, coupled to receive at least unquantized signal values and the control signal, for determining a normalization term in accordance with the control signal. The decoder includes a zero detection unit, coupled to receive a frequency domain quantized signal, for determining a control signal that indicates when noise injection is active and a noise generation and normalization unit, coupled to receive a normalization term and the control signal, for generating, normalizing, and injecting a predetermined noise signal where indicated by the control signal.



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**METHOD, DEVICE, AND SYSTEM FOR AN EFFICIENT NOISE  
INJECTION PROCESS FOR LOW BITRATE AUDIO  
COMPRESSION**

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**Field of the Invention**

The present invention relates to high quality generic audio compression, and more particularly, to high quality generic audio compression at low bit rates.

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**Background**

Modern, high-quality, generic, audio compression algorithms take advantage of the noise masking characteristics of the human auditory system to compress audio data without causing perceptible distortions in the reconstructed audio signal. This form of compression is also known as perceptual coding. Most algorithms code a predetermined, fixed, number of time-domain audio samples, a 'frame' of data, at a time. Since the noise masking properties depend on frequency, the first step of a perceptual coder is to map a frame of audio data to the frequency domain. The output of this time-to-frequency mapping process is a frequency domain signal where the signal components are grouped according to subbands of frequency. A psychoacoustic model analyzes the signal to determine both the signal-dependent and

signal-independent noise masking characteristics as a function of frequency. These masking characteristics are expressed as signal-to-mask ratios for each subband of frequency. A quantizer can then use these ratios to determine  
5 how to quantize the signal components within each subband such that the quantization noise will be inaudible. Quantizing the signal in this manner reduces the number of bits needed to represent the audio signal without necessarily degrading the perceived audio quality of the resulting signal.

10

As long as there are enough code bits to guarantee that the quantization noise will be less than the noise masking level within each subband, the coding process will not produce audible distortions. In the case of very low bitrate coding of  
15 audio signals, this will usually not be the case. Under these conditions, the quantizer attempts to mask as much of the quantization noise as possible based on the signal-to-mask ratios computed by the psychoacoustic model. Sometimes this causes the quantizer to alternately quantize certain subbands  
20 to all zeroes, then quantize the same subbands to non-zero values from one frame of data to the next. This alternating turn-on and turn-off of subbands produces very unnatural swishing or warbling artifact sounds.

25

Bitrate scalability is a useful feature for data compression coder and decoders. A scalable coder encodes a

signal at a high bitrate so that subsets of this bitstream can be decoded at lower bitrates. One application of this feature is the remote browsing of data without the burden of downloading the full, high bitrate data file. For the efficient use of code bits, the low bitrate streams should be used to help reconstruct the higher bitrate streams. One approach is to first encode data at a lowest supported bitrate, then encode an error between the original signal and a decoded lowest bitrate signal to form a second lowest bitrate bitstream and so on. For this scheme to work, the error signal must be easier to compress than the original. For this to be the case, the signal-to-noise ratio of each decoded output should be maximized. This is not the case for most noise shaping techniques used in speech coding.

15

Thus, there is a need for a device, method and system that provides an efficient method of improving the quality of compressed audio signals by masking the unnatural swishing artifacts, and where selected, by facilitating scalable bitrate coding.

20

#### Brief Descriptions of the Drawings

FIG. 1 is a block diagram of one embodiment of an audio compression system that utilizes an encoder and a decoder in accordance with the present invention.

5        FIG. 2 is a block diagram of one embodiment of a noise computation and normalization unit of the encoder of FIG. 1 shown with greater particularity.

10        FIG. 3 is a block diagram of one embodiment of a noise normalization and injection unit of the decoder of FIG. 1 shown with greater particularity.

15        FIG. 4 is a flow chart of steps for a preferred embodiment of steps of a method in accordance with the present invention.

20        FIG. 5 is a flow chart of steps for another preferred embodiment of steps of a method in accordance with the present invention.

#### Detailed Description of a Preferred Embodiment

25        The present invention provides a novel device, method and system for noise injection into a compressed audio signal. This invention improves the audio quality of highly compressed

audio data by reducing the audibility of artificial sounding compression artifacts. These artifacts are caused by alternately turning on and off frequency subbands. Alternative approaches, as the approach described in U.S. patent application serial number 08/207.995 by James Fiocca et al., incorporated herein by reference, may either reduce the bandwidth of the compressed audio signal or increase the audibility of noise in other parts of the spectrum. The present invention offers these improvements with a very low coding overhead. In one implementation of the present invention, only 4 bits of overhead code are needed per frame (1024 samples) of audio data. The invention has an additional advantage in that it does not adversely affect the signal-to-noise ratio of the coded signal. This is advantageous for bitrate scalable coding. Noise can be injected at the last stage of decoding. Pre-noise-injected versions of the decoded signals can be summed together to build the highest-bitrate, highest-fidelity, version of the decoded signal.

FIG. 1, numeral 100, is a block diagram of one embodiment of an audio compression system that utilizes at least one of an encoder and a decoder in accordance with the present invention. FIG. 4, numeral 400, is a flow chart of steps for a preferred embodiment of steps of a method in accordance with the present invention. FIG. 5, numeral 500, is

a flow chart of steps for another preferred embodiment of steps of a method in accordance with the present invention.

Different noise injection processing is used in the  
5 encoder and the decoder (404, 504).

The encoder includes a noise computation and normalization unit (112). FIG. 2, numeral 200, is a block diagram of one embodiment of a noise computation and  
10 normalization unit shown with greater particularity. The noise computation and normalization unit consists of: A) a zero detection unit (202) that is coupled to receive a frequency domain quantized signal, and is used for determining, a control signal that indicates whether noise  
15 injection is implemented in accordance with a predetermined scheme; B) a normalization computation unit (204) that is coupled to receive at least unquantized subband values and the control signal from the zero detection unit, and is used for determining an energy normalization term based on the  
20 unquantized subband values in accordance with the control signal.

During encoding, audio data is processed by a time-to-frequency analysis unit (108) a frame of samples at a time  
25 (402, 502). The time-to-frequency analysis unit maps time domain audio samples to a frequency domain. The frame of



audio samples is also processed simultaneously by a perceptual modeling unit (102). The perceptual modeling unit computes a signal-to-mask ratio for each subband of frequency. A quantizer step-size determining unit (104) uses these ratios to determine a quantizer step-size for each subband of frequency. A quantizer (110) quantizes the frequency domain samples using the computed step-sizes. A noise computation and normalization unit (112) evaluates quantized subband values from the quantizer to determine if a noise signal is to be injected (202) and computes a normalization term. The normalization term scales the injected noise.

In order to produce more subjectively pleasing noise injected sounds, the injected noise may be colored by a predetermined noise energy profile (412, 428). A linearly decreasing ramp profile:

$$\text{profiled\_noise}(f) = \text{noise}(f) * [\text{HIGHLIM} - f] / [\text{HIGHLIM} - \text{LOWLIM}]$$

provides acceptable results. HIGHLIM and LOWLIM are predetermined constants. For example, values of HIGHLIM equal to 145 and LOWLIM of zero are appropriate for coding at six kilobits per second with a frame size of 1024.

In order to have accurate values for the noise normalization term, the noise values injected at the encoder should be the same as the noise values injected at a decoder.

For this to be the case, identical random noise generators should be used at the encoder and decoder and seeds for the generators should be the same (410, 426). In one embodiment, an audio frame number (computed within blocks 204 and 304) is used to seed the random noise generators for each frame. Other seeds available to both the encoder and decoder, such as code bits within the code bitstream representing the frame of data, may be used.

10 The method of noise generation by seeding and noise coloring with a noise profile may be omitted, where selected, from embodiments of the invention (510, 520).

The invention accommodates two implementations of the audio compression system. One implementation codes an individual quantizer step-size for each pre-defined frequency region. The other implementation codes a single global step-size for the entire frame. The invention accommodates both implementations of the audio compression system by checking (416, 512).

In the audio compression system where there is a quantizer step-size for each of several pre-determined subbands of frequency, the zero detection unit (202) detects when all values of a subband are quantized to zero (406, 506) and generates a control signal indicating whether there are all

zeros in any pre-defined regions (408, 508). If all pre-defined regions contain non-zero values, the noise processing is ended for the frame (434, 526), otherwise a normalization term replaces the quantizer step-size for each subband that was  
5 quantized to all zeroes (420, 516). The normalization term is based on a ratio of a sum energy of the unquantized frequency domain samples within a pre-determined subband that have all been quantized to zero and a sum energy of the injected noise (204, 414, 510).

10

In the audio compression system where there may be only one global quantizer step-size for the entire frame, the noise normalization term is coded in addition to the quantizer step-size (418, 514). Instead of detecting when all values of  
15 a subband are quantized to zero, the zero detection unit (202) detects whenever any frequency value in a frame of audio data gets quantized to zero (406, 506) and generates a control signal indicating whether there are any zeros in the frame (408, 508). If the frame contains only non-zero values, the  
20 noise processing is ended for the frame (434, 526). The noise normalization term is based on a ratio of a sum energy of all of the unquantized frequency domain samples within the frame that were quantized to zero and a sum energy of the injected noise (204, 414, 510). In this implementation there will be  
25 only one normalization term for each frame of audio samples.

To efficiently represent the noise normalization term with only a few code bits, a coded representation is sent to a side information coding unit (106, 418, 420, 514, 516). The coded representation of this term is equal to one half of the  
 5 logarithm, base 2, of the one of the two ratios (depending on the implementation) described above. In mathematical terms, this may expressed as:

$$\text{Coded\_representation} = K \times \log_2 \left( \sum (x^2(n)/y^2(n)) \right)$$

where:

- 10                    n is            the index of samples in the frame.  
                      K is            a constant,  
                       $x^2(n)$  is       the original energy of the signal,  
    samples that were quantized to zero,  
    and  
 15                     $y^2(n)$  is       the energy of the noise to be  
    substituted for samples quantized to  
    zero.

Side information is sent to a bitstream formatting unit  
 20 (116) which also encodes the quantized frequency domain samples. This completes the noise injection processing for the frame of audio data (434, 526)

Since the quantized frequency domain samples are free  
 25 of injected noise at the encoder, an optional bitrate

scalability encoding unit (114) may directly use the quantized samples for difference coding.

The decoder includes a noise normalization and injection unit (120). FIG. 3, numeral 300, is a block diagram of one embodiment of a noise normalization and injection unit shown with greater particularity. The noise normalization and injection unit consists of: A) a zero detection unit (302), coupled to receive a frequency domain quantized signal, for determining, a control signal that indicates implementation of noise injection according to a predetermined scheme when values of the frequency domain quantized signal are zero; and B) a noise generation and normalization unit (304), coupled to receive the energy normalization term and the control signal from the zero detection unit, for substituting a predetermined noise signal multiplied by the energy normalization term where indicated by the control signal.

For decoding, a bitstream decoding unit (126) decodes the quantized frequency domain samples and sends the samples to a requantizer (124). The bitstream decoding unit also sends coded side information to a side information decoding unit (128). The side information decoding unit decodes a quantizer step-size and noise normalization term(s). The side information decoding unit sends the quantizer step-size to the requantizer (124) and the normalization term to a noise

normalization and injection unit (120). The noise normalization and injection unit detects where the requantized frequency domain samples were quantized to zero (302) and injects noise according to a pre-determined scheme (304).

In audio compression systems where there is a quantizer step-size for each of several pre-determined subbands of frequency, the noise computation and normalization unit (304) injects noise only into the all-zeroed subbands (422, 424, 432, 518, 520, 524).

In audio compression systems where there is only one global quantizer step-size for the entire frame, the noise normalization term is coded in addition to the global quantizer step-size. There will be only one normalization term for each frame of audio samples. Instead of detecting when all values of a subband are quantized to zero, the zero detection unit (302, 422, 518) detects whenever any frequency value in the frame of audio data is quantized to zero (424, 520). The noise computation and normalization unit (304) injects noise to all of these zeroed values (432).

To decode the noise normalization term, the decoder multiplies the coded representation of the normalization term by a factor less than or equal to 2. The factor is set based on

the perceived audio quality and may be adjusted at the decoder.

The product is raised to the second power to obtain the noise normalization term. The noise signal is generated with the random number generator and seed (426) as described above,

5 then optionally colored (428) by the same pre-determined noise profile in the encoder and multiplied by the noise normalization term (430). The invention does not require noise generation based on a particular seed or noise coloring (522). The processed noise is injected into the quantized  
10 frequency domain samples that were quantized to zero (432, 524). These samples are sent to the time-to-frequency synthesis unit (118) for final decoding to time domain audio samples.

15 If selected, the requantized sample values may be used by a bitrate scalability decoding unit (122) before noise is injected by the noise normalization and injection unit (120). Thus the scalability unit accesses clean sample values with higher signal-to-noise ratio than the noise injected sample  
20 values. The clean sample values are accumulated for each successive higher bitrate before sending the result for the time-to-frequency synthesis unit (118).

The method and device of the present invention may be  
25 selected to be embodied in least one of: A) an application specific integrated circuit; B) a field programmable gate

array; C) a microprocessor; and D) a computer-readable memory; arranged and configured for efficient noise injection for low bitrate audio compression to maximize audio quality in accordance with the scheme described in greater detail above.

5

I claim:



## 15

1. A device for efficient noise injection for low bitrate audio compression to maximize audio quality, comprising: at least one of an encoder and a decoder:

- 5 A) the encoder including a noise computation and normalization unit comprising:

- 1) a zero detection unit, coupled to receive a frequency domain quantized signal, for determining, a control signal that indicates whether noise injection is implemented in accordance with a predetermined scheme;
- 10 2) a normalization computation unit, coupled to receive at least unquantized subband values and the control signal from the zero detection unit, for determining an energy normalization term based on the unquantized subband values in accordance with the control signal;

15

B) the decoder including a noise normalization and injection unit comprising:

- 1) zero detection unit, coupled to receive a frequency domain quantized signal, for determining, a control signal that indicates implementation of noise injection according to a predetermined scheme when values of the frequency domain quantized signal are zero; and
  - 2) a noise generation and normalization unit, coupled to receive the energy normalization term and the control signal from the zero detection unit, for substituting a
- 25

predetermined noise signal multiplied by the energy normalization term where indicated by the control signal.

2. The device of claim 1 wherein the noise normalization and injection unit in the decoder is placed subsequent to  
5     bitrate scalability module/modules.
3. The device of claim 1 wherein, in the encoder, the input to the normalization computation unit further includes a  
10    quantization step size and the unit substitutes the energy normalization term for the quantizer step size value in accordance with the control signal.
4. The device of claim 1 wherein the device is embodied in  
15    least one of:
  - A) an application specific integrated circuit;
  - B) a field programmable gate array;
  - C) a microprocessor; and
  - D) a computer-readable memory;
- 20    arranged and configured for efficient noise injection for low bitrate audio compression to maximize audio quality in accordance with the scheme of claim 1.
5. A method for efficient noise injection for low bitrate  
25    audio compression to maximize audio quality, comprising the steps of at least one of A-B:

A) in an encoder, including the steps of:

- 1) determining, by a zero detection unit, a control signal that indicates whether noise injection is implemented in accordance with a predetermined scheme;
- 5           2) determining, by a noise injection unit, an energy normalization term based at least on unquantized subband values in accordance with the control signal;

B) in a decoder, the steps of:

- 1) determining, by zero detection unit, a control  
10   signal that indicates implementation of noise injection is implemented in accordance with a predetermined scheme when values of the frequency domain quantized signal are zero; and
- 2) substituting, by a noise injection unit, a predetermined noise signal multiplied by the energy  
15   normalization term where indicated by the control signal.

6. The method of claim 5 wherein noise normalization and injection is implemented in the decoder subsequent to utilizing bitrate scalability module/modules.

20

7. The method of claim 5 further including, in the encoder, substituting an energy normalization term for a quantizer step size value where indicated by the control signal.

25   8. The method of claim 5 wherein the energy normalization term is determined in accordance with an equation of a form:

$$K \times \log_2 ( \Sigma (x^2(n)/y^2(n)) )$$

where:

n is the index of samples in the frame,

K is a constant,

5  $x^2(n)$  is the original energy of the signal samples  
that were quantized to zero, and

$y^2(n)$  is the energy of the noise to be substituted  
for samples quantized to zero.

10 9. The method of claim 5 wherein the method is a process  
whose steps are embodied in least one of:

A) an application specific integrated circuit;

B) a field programmable gate array;

C) a microprocessor; and

15 D) a computer-readable memory;

arranged and configured for efficient noise injection for low  
bitrate audio compression to maximize audio quality in  
accordance with the scheme of claim 4.

20 10. A system for efficient noise injection for low bitrate  
audio compression to maximize audio quality, wherein the  
system includes at least one of A-B

A) The encoder including a noise substitution and  
normalization unit comprising:

25 1) a zero detection unit, coupled to receive a  
frequency domain quantized signal, for determining, a control

signal that indicates whether noise injection is implemented in accordance with a predetermined scheme;

- 2) a normalization computation unit, coupled to receive at least unquantized subband values and the control  
5 signal from the zero detection unit, for determining an energy normalization term based on the unquantized subband values in accordance with the control signal;

B) The decoder including a noise normalization and injection unit comprising:

- 10 1) zero detection unit, coupled to receive a frequency domain quantized signal, for determining, a control signal that indicates implementation of noise injection is implemented in accordance with a predetermined scheme when values of the frequency domain quantized signal are zero; and  
15 2) a noise generation and normalization unit, coupled to receive the energy normalization term and the control signal from the zero detection unit, for substituting a predetermined noise signal multiplied by the energy normalization term where indicated by the control signal.

1/4

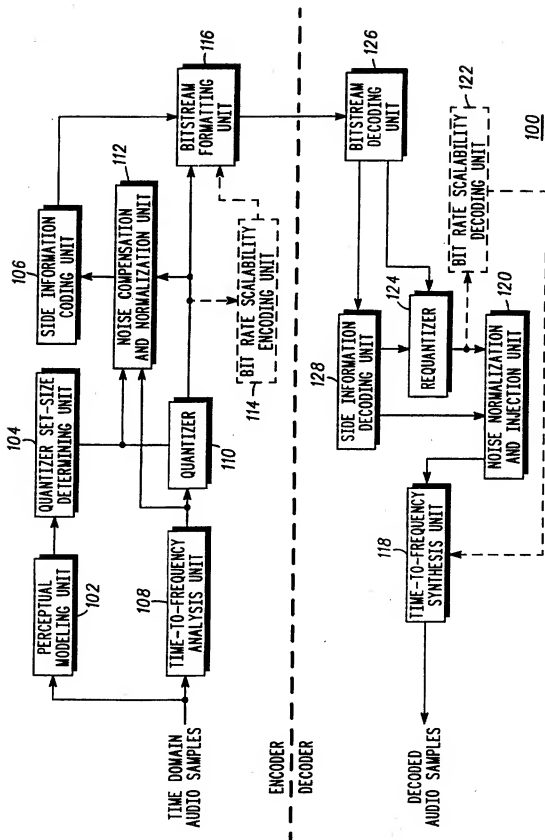


FIG. 1

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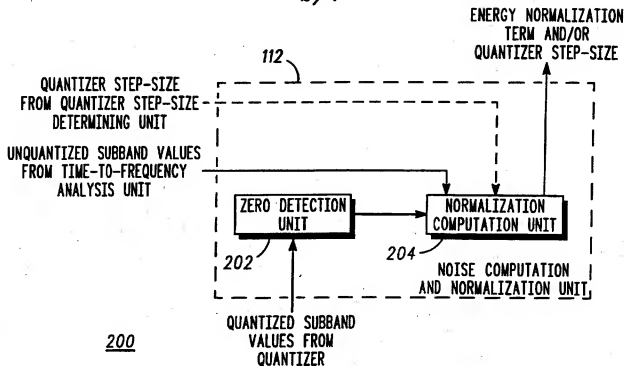


FIG. 2

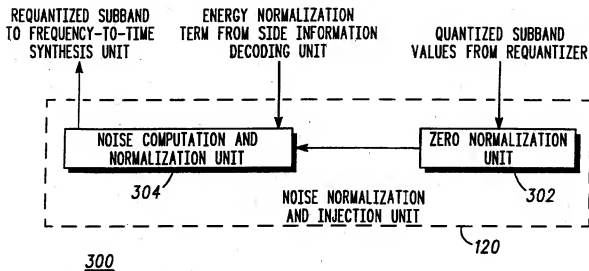
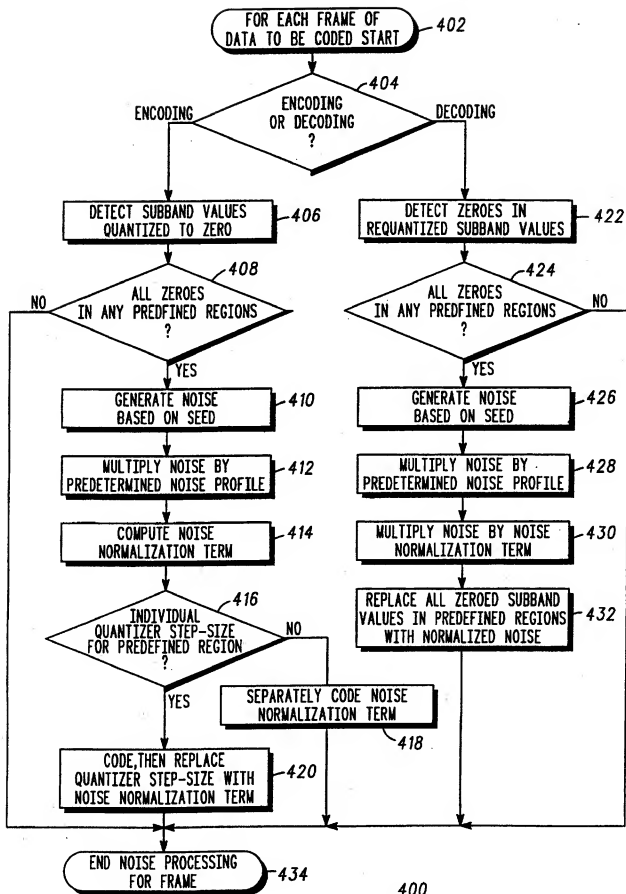


FIG. 3

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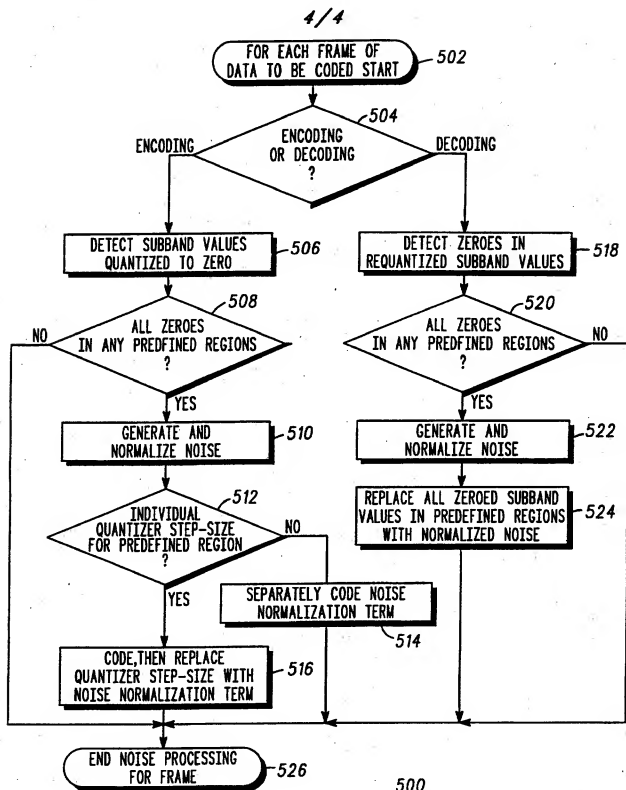


FIG. 5

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/13959

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G10L 03/02

US CL : 395/2.39

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

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U.S. : 395/2.39, 2.35, 2.92; 381/41-53

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## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,222,189 A (FIELDER) 22 June 1993, Abstract & col. 11, lines 26-32	1-2, 4-6, 8-10
X,P ----- Y,P	US 5,533,052 A (BHASKAR) 02 July 1996, Fig. 2, col. 10, lines 55-60; col. 13, lines 11-13	1-3, 5-7, 10 ----- 4, 8-9

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

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Date of the actual completion of the international search

24 OCTOBER 1996

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